

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

In re the Application of ) Examiner: L. Cohen *LL*  
 )  
 Alan K. Schaer ) Group Art Unit: 3739  
 )  
 For: **EP CATHETER** )  
 )  
 Serial No.: 09/104,752 )  
 )  
 Filed: June 25, 1998 ) **REQUEST FOR REFUND**  
 )  
 Atty. Docket No.: 22963-1270 )  
 )

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Office of Finance  
Office of the Associate Commissioner and Chief Financial Officer  
United States Patent and Trademark Office  
Washington, D.C. 20231

Dear Sir:

Enclosed is a copy of Applicant's Continued Prosecution Application forwarded to the PTO for filing in connection with the above-referenced application on September 22, 2000, including payment of large entity fees in the amount of \$1,782. Small entity status has previously been established in this case therefore, Applicant hereby requests a refund to be credited to Deposit Account No. 08-1641, in the amount of \$672, referencing Atty. Docket No. 22963-1270. A duplicate copy of this sheet is attached.

Respectfully submitted,

By: 

Edward J. Lynch  
Registration No. 24,422

OFFICE OF FINANCE  
REFUND ENCL  
7/26/00 OCT - 5 PM 2:12  
US PATENT & TRADEMARK  
OFFICE

Heller Ehrman White & McAuliffe LLP  
525 University Avenue, Suite 1100  
Palo Alto, CA 94301-1900  
Contact: **Priscilla Mark**  
**Direct Dial: (650) 324-7184**  
**Facsimile: (650) 324-0638**

**CERTIFICATE OF MAILING PURSUANT TO 37 CFR §1.8**

I hereby certify that this paper is being deposited in the USPS as first class mail with sufficient postage in an envelope addressed to the Office of Finance, Office of the Associate Commissioner and Chief Financial Officer, United States Patent and Trademark Office, Washington D.C. 20231, on 9/26/00, in Palo Alto, CA.

*Priscilla*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**EXPRESS MAIL NO. EL 294 131 025 US**

**Date Mailed: September 22, 2000**

**CONTINUED PROSECUTION APPLICATION  
UNDER 37 C.F.R. §1.53(d)**

The Assistant Commissioner for Patents  
The United States Patent and Trademark Office  
BOX CPA  
Washington, DC 20231

Dear Sir:

This is a request for filing a continuation application under the Continued Prosecution Application Procedure, 37 C.F.R. §1.53(d).

It is understood that secrecy under 37 U.S.C. §122 is hereby waived to the extent that if information or access is available to any one of the applications in the file jacket of a 37 C.F.R §1.53(d) application, be it either the application or a prior application in the same file jacket, the PTO may provide similar information or access to all the other applications in the same file jacket.

1.  This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above. With respect to the prior application from which the present application claims benefit under 35 U.S.C. §120, the inventors in this application are:

/ X / the same

1 / less than those named in the prior application and it is

requested that the following inventors identified above for the prior application be deleted.

the name of the applicant(s) as originally filed in the prior application along with their current address are as filed:

10162 Alpine Drive, #B  
Cupertino, CA 95014

The inventorship for all the claims in this application is:

the same.

not the same, and an explanation, including the ownership of the various claims at the time the last claimed invention was made, is submitted.

2.  The applicant is a small entity:

small entity status established in prior application.

small entity statement attached.

3. The filing fees are to be calculated on the claims pending in the prior application and the claims remaining as a result of:

the attached Preliminary Amendment.

the unentered amendment which was filed pursuant to 37 C.F.R. §1.116 in the prior application.

the claims allowed in the notice of allowance mailed \_\_\_\_\_.

### FILING FEE CALCULATION

	Number Filed	Number Extra*	Rate	Basic Fee \$690
Total Claims	28 - 20 =	8	x \$18 =	\$144.
Independent Claims	4 - 3 =	1	x \$78 =	\$78.
	_____ Multiple dependent claim(s), if any		x \$260/130 =	\$-0-

\*If less than zero, enter "0".

Calculated Filing Fee.....\$912.00

345

/ / Applicant is entitled to a 50% Filing

Fee Reduction as a Small Entity .....\$N/A

4. / / Applicant hereby petitions for an Extension of Time of 3 month(s), pursuant to Rule 1.17(a)(3). Fee required \$ 870.00 .....\$870.00

135

Total Fees Due .....\$1,782.00

675

5. / / Payment of filing fee is deferred.

6. / X / Attached is a check in the amount of \$ \_\_\_\_\_.

7. / X / The Commissioner is hereby authorized to charge any fees which may be required by this paper

to **Deposit Account 08-1641**, referencing **Docket No. 22963-1270**. A duplicate copy of this sheet is enclosed.

8. / X / The prior application is assigned of record to: Cardima, Inc.

Edward J. Lynch

/ X / The power appears in the original papers in the prior application.

/ / The power does not appear in the original papers, but was filed on

A new power has been executed and is attached.

Address all future communications to:

Edward J. Lynch  
HELLER EHRMAN WHITE & McAULIFFE LLP  
525 University Avenue  
Palo Alto, CA 94301-1900  
Tel. (650) 324-7000  
Direct Tel. (650) 324-7098  
Facsimile: (650) 324-0638

10.  A petition and appropriate fee have been filed to extend the term of the pending prior application until \_\_\_\_\_.

A copy of the Petition which is being filed in the prior application is attached.

11.  Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or the petition to revive in that application is granted and when this application is granted a filing date so as to make this application co-pending with said prior application.

12. **OTHER DOCUMENTS FILED HEREWITH**

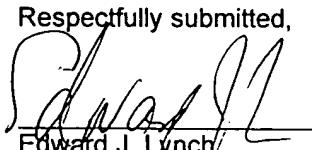
Information Disclosure Statement

Preliminary Amendment

Return Receipt Postcard

Other (specify \_\_\_\_\_)

Respectfully submitted,

  
Edward J. Lynch  
Attorney for Applicant(s)  
Registration No. 24,422

**ASSISTANT COMMISSIONER  
OF PATENTS**

Heller Ehrman White & McAuliffe LLP

VENDOR NUMBER

CHECK NUMBER 95155

INVOICE NUMBER	INVOICE DATE	AMOUNT	DISCOUNT	NET AMOUNT	TOTAL
	09/22/00	1782.00		1782.00	
PLEASE DETACH BEFORE DEPOSITING					<b>CHECK TOTAL</b> 1782.00

FOR SECURITY PURPOSES, THE BORDER OF THIS DOCUMENT CONTAINS MICROPRINTING

**HellerEhrman**  
ATTORNEYS

Heller Ehrman White & McAuliffe LLP  
525 University Avenue, 11th Floor  
Palo Alto, California 94301-1900  
(650) 324-7000

**Bank of America**  
San Francisco Regional Commercial Banking Office 1499  
345 Montgomery Street  
San Francisco, California 94104

11-35  
1499

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PAY **■** ONE THOUSAND SEVEN HUNDRED EIGHTY-TWO DOLLARS AND 00 CENTS \*\*\*\*\*

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TO **ASSISTANT COMMISSIONER  
OF PATENTS**  
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OF

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**AUTHORIZED SIGNATURE**

**095155 11210003581 1499000106**

**ATTENTION** **ATTENTION** **ATTENTION**

*Sc*  
Date Processed:

*10/16/00*



**ACH Payment**

Attached Is The Most Current ACH Information



**Treasury Check**

The Most Current ACH Information Was

Requested From This Customer With The Purpose Of

Processing His Refund Request By Electronic Fund

Transfer (EFT). No EFT Information Was Received.

Therefore, If Customer Is Due A Refund;

**A Check Will Be Issued.**

*3712*



**Credit To Deposit Account**

*09104752*

This Request for Refund Will Be Processed By Crediting Deposit  
Account Number 08-1641, If Customer Is Due A Refund.

**ATTENTION** **ATTENTION** **ATTENTION**